2025 Compliance with the United Nations Principles for Responsible Investment (PRI)

Principle 1: We will incorporate ESG issues into investment analysis and decision-making processes.	• The Group's subsidiaries implement investment decisions in accordance with the Principles for Responsible Investment (PRI) and its spirit. Based on the Group's "Sustainable Finance Guidelines" and "Industry-Specific Environmental and Social Risk Management Rules", ESG risk factors are considered in relevant business activities. Additionally, for industries specified by the Group, an "Industry-Specific Environmental, Social, and Governance Risk and Opportunity Management Checklist" is used to assess and identify potential ESG risks in investment targets. • For information on how ESG factors are incorporated into the investment screening process, please refer to the Responsible Investment section under 3.1 Sustainable Finance of Yuanta FHC 2025 Sustainability Report.
Principle 2: We will be active owners and incorporate ESG issues into our ownership policies and practices.	• The Company has established the "Key Points of Engagement and Voting Operations" to jointly select an assessment list for engagement. Priority is given to engaging with investee companies that rank lower on ESG-related indicators. Joint engagement is also considered as a strategy to improve ESG performance metrics of the investee companies. • Subsidiaries engage with investee companies through dialogue, exercising shareholder voting rights, distributing ESG assessment questionnaires, and participating in investor conferences. Voting results are also disclosed on the Group's official website to understand and keep abreast of investee companies' operating status, risks, and future development strategies.
Principle 3: We will seek appropriate disclosure on ESG issues by the entities in which we invest.	• As an institutional investor, subsidiaries engage with investee companies in Taiwan and abroad, actively encouraging them to enhance their ESG practices and strengthen related disclosures. Yuanta Funds discloses its engagement records annually on the Company's official website. • The Group supports international initiatives such as SBT (Science Based Targets), PCAF (Partnership for Carbon Accounting Financials), TCFD (Taskforce on Climate-related Financial Disclosures), TNFD (Taskforce on Nature-related Financial Disclosures), and PBAF (Partnership for Biodiversity Accounting

	Financials), advocating for a culture of sustainability disclosure among financial institutions and corporations.
Principle 4: We will promote acceptance and implementation of the Principles within the investment industry.	• Actively participates in external events to share Yuanta Financial Holdings' responsible investment practices, encouraging industry peers to jointly implement responsible investment. • Yuanta Life has established the "Procedures for Managing Discretionary Investment," which clearly stipulates the inclusion of responsible investment considerations when signing contracts with external institutions. The adherence to the PRI in the assessment management policies of entrusted institutions can be verified through, but not limited to, the following: these institutions' signing of the PRI, compliance with the Taiwan Stock Exchange Corporation's Stewardship Principles for Institutional Investors, or the establishment of internal sustainable finance-related policies. • Yuanta Funds engages with international index companies and continues to monitor potential risks arising from material controversies involving investee companies, aligning with its stewardship policy and fiduciary duty, and implementing risk controls throughout the investment and trading process.
Principle 5: We will work together to enhance our effectiveness in implementing the Principles.	• In accordance with the policy on Taiwan's Pathway to Net-Zero Emissions in 2050, the Group's subsidiaries jointly support the "Coalition of Movers and Shakers on Sustainable Finance" pledge by taking more proactive actions in areas such as investment and engagement, information disclosure, support and promotion, and alignment with international standards. Furthermore, the Group encourages corporate participation in green supply chains, invests in zero-carbon technologies, leveraging the financial sector's influence. • Yuanta Securities, Yuanta Bank and Yuanta Funds reference the domestic and international sustainability rating scores disclosed on the ESG IR Platform established by the TDCC to engage with and provide guidance to companies to help them make the sustainability transition.
Principle 6: We will each report on our activities and progress	• The Company publishes a sustainability report annually, disclosing its sustainable development strategies, management systems, goals, and progress. • Yuanta Securities, Yuanta Bank, Yuanta Life, and Yuanta Funds each publish an annual stewardship

towards implementing the Principles.	report, disclosing their practices in responsible investment and stewardship.